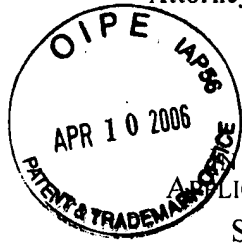


Application Serial No.: 09/722,050  
Attorney Docket No.: 089070-0311365

Customer No.: 00909



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

RE PATENT                      Joseph G. GATTO  
PLICATION OF:  
SERIAL No.:                      09/722,050  
ATTORNEY                      089070-0311365 (23449-010)  
DOCKET No:  
FILING DATE:                      November 27, 2000  
ART UNIT :                      3624  
EXAMINER                      NARAYANSWAMY SUBRAMANIAN  
FOR:                      SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND  
METHOD

---

**RESUBMISSION OF INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

Dear Sir:

An Information Disclosure Statement (I.D.S.) was filed by Applicant on May 2, 2001. To date, however, Applicant has yet to receive a copy of the Form PTO-1449 signed by the Examiner indicating that this I.D.S. was considered. Accordingly, Applicant has provided herewith a copy of the I.D.S. (and references) submitted May 2, 2001 together with a stamped PTO Acknowledgement Receipt. It is respectfully requested the Examiner return an initialed and signed copy of the Form PTO-1449 for this submission.

Date: April 10, 2006

Respectfully submitted,

By:

Bradford C. Blaise  
Registration No. 47,429

**Customer No. 00909**

PILLSBURY WINTHROP SHAW PITTMAN LLP  
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McLean, Virginia 22102  
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Main: 703-770-7900  
Fax: 703-770-7901



**BOX PATENT**

**Client:** Starmine

**Client/Matter:** 56351.000010

**Atty:** YS:dmd

**Inventor:** J. GATTO

**U.S. Serial No.:** 09/722,050

**Filing Date:** 11/27/00

**Title:** SECURITY ANALYST ESTIMATES PERFORMANCE  
VIEWING SYSTEM AND METHOD

The following has been received in the U.S. Patent and Trademark  
Office on the date stamped hereon,:

- (1) Information Disclosure Statement
- (2) PTO FORM 1449 (68 refs)

**DATE:** May 2, 2001

**DOCKETED**



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PATENT  
Attorney Docket No.: 56351.000010

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of : )  
Joseph G. Gatto ) Group Art Unit: *to be assigned*  
Serial No.: 09/722,050 ) Examiner: *to be assigned*  
Filed: November 27, 2000 )  
For: SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM  
AND METHOD

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant respectfully submits the following documents for the Examiner's consideration. A copy of Form PTO-1449 and copies of each of the listed documents are enclosed for the Examiner's convenience.

Applicant respectfully request that the Examiner consider the enclosed references and that the Examiner indicates that the references have been considered in this application by returning a copy of the Form PTO-1449 with the Examiner's initials in the left column per MPEP 609.

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Attorney Docket No.: 56351.000010  
U.S. Serial No.: 09/722,050  
Page 2

This Information Disclosure Statement is believed to be submitted before the mailing of a first Office Action. Accordingly, no fees are due. However, if any fees are incurred upon the filing of this Information Disclosure Statement, the Commissioner is hereby authorized to charge the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS

By: \_\_\_\_\_

  
Yisun Song  
Registration No. 44,487

Hunton & Williams  
1900 K Street, N.W., Suite 1200  
Washington, D.C. 20006-1109  
(202) 955-1500

Date: 5-2-01

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**U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**

**(Use several sheets if necessary)**

GROUP:  
2768

[illegible][illegible]

A	<a href="https://www.invesars.com/home.asp">https://www.invesars.com/home.asp</a> (printed 3/26/01)
B	I/B/E/S Internation Inc., Active Express
C	The Journal of Finance, Vol. XXXIII, March 1978, No. 1
D	The Predictive Value of Interim Reports for Improving Forecasts of Future Quarterly Earnings, April 1978
E	Financial Analysts Journal/Jan. - Feb. 1996 "Analyst Forecasting Errors and their Implications for Security Analysis: An Alternative Perspective"
F	The Journal of Portfolio Management "Analysts can Forecast Accuragely" Brown et al.
G	Journal of Forecasting, Vol. 2 325-330 1983) "Perspective on Forecasting Research in Accounting and Finance"
H	Journal of Accounting Research , Vol. 24 Supplement 1986 "Evidence on the Incremental Information Content of Additional Firm Dsisclosures made Concurrently with Earnings" Hoskin et al.'
I	Financial Analysts Journal, Mar./Apr. 1997 "Earnings Suerprise Research: Synthesis and Perspectives" Brown
J	The Journal of Finance, Vol. LIV, No. 5 Oct. 1999, "What os the Intrinsic value of the Dow", Lee et al.
K	The Journal of Finance, Vol. XLIX, No. 3 July 1993 "Market Integration and Prive Execution for NYSE-Listed Securities

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L	The Journal of Finance, Vol XLVIII, No. 2 June 1993 "Summing Up"
M	The Journal of Finance, Vol. XLVIII, No. 2 June 1993 "Yes, Discounts on Closed-End Funds are a Sentiment Index"
N	The Review of Financial Studies 1993, Vol. 6, No. 2 pp. 345-374 "Spreads, Depths, and the Impact of Earnings Information: An Intraday Analysis"
O	International Journal of Forecasting, Vol. 11, No. 3 (1995) pp.361-500
P	Financial Analysts Journal, Jan/Feb 1996
Q	Accounting Organizations and Society, Vol. 21, No 7/8/October/November 1996
R	Financial Analysts Journal March/April 1997
S	Journal of Accounting & Economics Vol. 9 1987 (MSG.V. 9 No. 3)
T	Journal of Accounting & Economics Vol. 9, No. 2 July 1987
U	The Accounting Review Vol. 67, No. 4 October 1992
V	Financial Analysts Journal Nov/Dec 1997
W	The Journal of Canadian Academic Accounting Association, Vol. 14, No. 1, Spring 1997
X	The Journal of Canadian Academic Accounting Association, Vol. 16, No. 1, Spring 1999
Y	Journal of Accounting Research Vol. 17, No. 1 Spring 1979
Z	International Journal of Forecasting, Vol. 9, No. 3 (1993) pp. 295-436
A1	"A Journal of Accounting, Finance and Business Studies" Vol. 30, No. 1 March 1994
B1	Journal of Accounting Auditing & Finance Vol. 9, No. 4 (new series) Fall 1994
C1	The Journal of the Canadian Academic Accounting Association Vol. 11, No. 1-1 Summer 1994
D1	The CPA Journal May 1995
E1	International Journal of Forecasting , Vol. 12, No. 1 (1996) pp.1-192
F1	Journal of Accounting Research Vol. 25, No. 1 Spring 1987
G1	International Journal of Forecasting Vol. 7, No. 3 (1991) pp. 257-408
H1	Journal of Accounting Research Vol. 29, No. 2 1991
I1	The Accounting Review Quarterly Journal of the American Accounting Association Vol. LX April 1985 No. 2
J1	The Journal of Portfolio Management Vol. 23, No. 4 Summer 1997
K1	Journal of Accounting Research Vol. 38, No 1 Spring 2000
L1	The Accounting Review, Vol. 68, No. 3, July 1993
M1	Journal of Accounting Research Vol. 17, No. 2 Autumn 1979
N1	Journal of Business Finance & Accounting, Vol. 19, No. 4 June 1992
O1	Journal of Accounting Research Vol. 23, No. 1 Spring 1985
P1	The Journal of the Canadian Academic Accounting Association, Vol. 14, No. 2 Summer 1997
Q1	The Journal of Finance, Vol. 46, No. 1 March 1991
R1	Accounting Horizons, Vol. 11, No. 4 December 1997
S1	Accounting Horizons, Vol. 13, No. 4 December 1999
T1	Journal of Accounting and Economics Vol. 25, No. 3 June 1998
U1	Contemporary Accounting Research Vol. 8, No. 1 Fall 1991
V1	CA Magazine April 1996
W1	The Journal of Finance Vol. 46, No. 2 June 1991
X1	The Journal of Finance, Vol. 55, No. 5 October 2000
Y1	Financial Analysts Journal, Vol. 55, No. 5 Sept/Oct. 1999
Z1	Journal of Accounting & Economics, Vol. 15, No. 2/3 June/Sept. 1992

A2	Accounting Horizons, Vol. 10, No. 3 September 1996
B2	Accounting Horizons Vol. 10, No. 4, December 1996
C2	Accounting Horizons, Vol. 10, No. 3 September 1996
D2	"Profiling From Predicting Earnings Surprise", Brown et al. 1998
E2	"Enhancing Earnings Predictability Using Individual Analyst Forecasts", Herzberg et al. Summer 1999
F2	"Do Stock Prices Fully reflect the Implications of Current Earnings for Future Earnings for AR1 Firms" Brown et al. 2000
G2	"The Information Content of Analyst Stock Recommendations" Kirsche et al. 8/8/00
H2	<a href="http://www.findarticles.com/m4PRN/1999_Oct_14/56284107/p1/article.jhtml">http://www.findarticles.com/m4PRN/1999_Oct_14/56284107/p1/article.jhtml</a> (printed 2/5/01)
I2	<a href="http://my.zacks.com/?ALERT+www.zacks.com">http://my.zacks.com/?ALERT+www.zacks.com</a> (printed 2/2/01)
J2	<a href="http://www.cianet.com">http://www.cianet.com</a> (printed 2/5/01)
K2	<a href="http://www.factset.com">http://www.factset.com</a> (printed 2/5/01)
L2	<a href="http://www.iexchange.com">http://www.iexchange.com</a> (printed 2/5/01)
M2	<a href="http://www.validea.com/home/home.asp">http://www.validea.com/home/home.asp</a> (printed 2/5/01)
N2	<a href="http://www.bulldogresearch.com/default.asp">http://www.bulldogresearch.com/default.asp</a> (printed 2/5/01)
O2	"Predicting Individual Analyst Earnings Forecast Accuracy", Brown et al. 9/29/99

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.